

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peter Dröge *et al*.

Serial No.: 10/082,772

Filed: February 25, 2002

For: SEQUENCE-SPECIFIC DNA

RECOMBINATION IN EUKARYOTIC

**CELLS** 

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: DEBE:008US/SLH

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Complissioner for Patents, Washington DC 20231, on the date

below:

March 28, 2002

Date

L. Highlander

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

25149475.1

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the

Account No.: 50-1212/10201861/SLH.

Applicants respectfully request that the listed documents be made of record in the present case.

Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit

Respectively submitted,

Steven L. Highlander

Reg. No. 37,642

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

March 28, 2002

TELEPHONE: 512/42 POI FACSIMILE: 512/536 PE TRANSPIRED FOR STEVEN L. HIGHLANDER

LBRIGHT & JAWORSKI L.L.P.

REGISTERED LIMITED LIABILITY PARTNERSHIP
600 CONGRESS AVENUE, SUITE 2400
AUSTIN, TEXAS 78701

ASHINGTON, D.
AUSTIN
SAN ANTONIO
DALLAS
NEW YORK
LOS ANGELES
MINNEAPOLIS
LONDON

HONG KONG

STEVEN L. HIGHLANDER
PARTNER

INTERNET ADDRESS: SHIGHLANDER@FULBRIGHT.COM

DIRECT DIAL: 512/536-3184

March 28, 2002

FILE: DEBE:008US

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 2023, on the date below:

March 28, 2002

Date

Steven L. Highlander

Commissioner for Patents Washington, DC 20231

RE:

SN 10/082,772 "SEQUENCE-SPECIFIC DNA RECOMBINATION IN EUKARYOTIC CELLS" – Peter Dröge et al. (Client Reference: DRO-001 PCT/US BB/sch)

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references (B1-B2, C1-C8).

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10201861/SLH.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Steven L. Highlander

Reg. No. 37,642

SLH/cmb

Encl: as noted

25149481.1

Form PTO-1449 (modified)		Atty. Docket No. DEBE:008US/SLH	Serial No. 10/082,772
List of Patents and Publications for INFORMATION DISCLOSURE S		Applicant Peter Dröge <i>et al</i> .	
(Use several sheets if necessa	ry)	Filing Date: February 25, 2002	Group: Unknown
Ta TRADEMAN U.S. Patent Documents See Page 1	Foreign Patent Documents		Other Art
T& TRADE See Page 1	See Page 1		See Page 1

			U.S. Pat	ent Docum	ents		
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
		F	Foreign P	atent Docu	ments		
Evam	Pof	Document	Date	Country	Class	Sub	Translation

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 96/40722	12/19/96	PCT			
	B2	WO 97/47758	12/18/97	PCT			

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation				
	C1	Christ and Dröge, "Alterations in the directionality of λ site-specific recombination catalyzed by mutant integrases in vivo," J. Mol. Biol., 288:825-836, 1999.				
	C2	Database EMBL Sequences, Accession Code LAMCG, "Bacteriophage lambda genome," XP002164455, 1995.				
	C3	Gu, et al., "Deletion of a DNA polymerase β gene segment in T cells using cell type-specific gene targeting," Science, 265:103-106, 1994.				
	C4	Hoess, et al., "Mechanism of strand cleavage and exchange in the Cre-lox site-specific recombination system," J. Mol. Biol., 181:351-362, 1985.				
	C5	Kilby, et al., "Site-specific recombinases: tools for genome engineering," Trends Genet., 9:413-421, 1993.				
	C6	Landy, "Dynamic, structural, and regulatory aspects of $\lambda$ site-specific recombination," <i>Annu. Rev. Biochem.</i> , 58:913-949, 1989.				
	C7	Lorbach <i>et al.</i> , "Site-specific recombination in human cells catalyzed by phage lambda integrase mutants," <i>J. Mol. Biol.</i> , 296:1175-1181, 2000.				
	C8	Müller, "Ten years of gene targeting: targeted mouse mutants, from vector design to phenotype analysis," <i>Mech. Develop.</i> , 82:3-21, 1999.				

25149122.1

EXAMINER:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.